

JOINT CFD ACCOUNT application form

APPLICANT 1

Personal Details	
Surname:	
Given Name(s):	
Title:	Date of Birth: / /
Driver's Licence Number*:	
Residential Address (PO Box not allowed):	
State:	Postcode:
Postal Address (if different):	
State:	Postcode:
Email Address:	
<small>Email addresses with a capacity of 2MB or less are not acceptable. It is your responsibility to provide a valid email address and contact number.</small>	
Tel: (compulsory) (home)	(work)
Mobile:	Fax:
Will this account be traded by someone other than you or your joint applicant? <input type="checkbox"/> Yes <input type="checkbox"/> No	
If your account will be traded by someone other than yourself or your joint applicant, then please print, fill out, and mail or fax the Authorised Agent (Authorised Person) form.	
Existing Clients – If you have previously appointed an Authorised Agent/s, their authority will extend to your Account, unless you advise us in writing.	

Trading Experience (compulsory)	
1. Do you understand the nature and risk of margined (or leveraged) products?	<input type="checkbox"/> Yes <input type="checkbox"/> No
2. Are you aware that you may lose more than your initial investment?	<input type="checkbox"/> Yes <input type="checkbox"/> No
3. Do you have experience in dealing in financial instruments?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If Yes, how much experience do you have?	
More than 6 months experience	<input type="checkbox"/>
Less than 6 months experience	<input type="checkbox"/>
4. Have you familiarised yourself with CFD trading examples (available in the CMC Markets CFD Product Disclosure Statement)?	<input type="checkbox"/> Yes <input type="checkbox"/> No

APPLICANT 2

Personal Details	
Surname:	
Given Name(s):	
Title:	Date of Birth: / /
Driver's Licence Number*:	
Residential Address (PO Box not allowed):	
State:	Postcode:
Postal Address (if different):	
State:	Postcode:
Email Address:	
<small>Email addresses with a capacity of 2MB or less are not acceptable. It is your responsibility to provide a valid email address and contact number.</small>	
Tel: (compulsory) (home)	(work)
Mobile:	Fax:
Will this account be traded by someone other than you or your joint applicant? <input type="checkbox"/> Yes <input type="checkbox"/> No	
If your account will be traded by someone other than yourself or your joint applicant, then please print, fill out, and mail or fax the Authorised Agent (Authorised Person) form.	
Existing Clients – If you have previously appointed an Authorised Agent/s, their authority will extend to your Account, unless you advise us in writing.	

Trading Experience (compulsory)	
1. Do you understand the nature and risk of margined (or leveraged) products?	<input type="checkbox"/> Yes <input type="checkbox"/> No
2. Are you aware that you may lose more than your initial investment?	<input type="checkbox"/> Yes <input type="checkbox"/> No
3. Do you have experience in dealing in financial instruments?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If Yes, how much experience do you have?	
More than 6 months experience	<input type="checkbox"/>
Less than 6 months experience	<input type="checkbox"/>
4. Have you familiarised yourself with CFD trading examples (available in the CMC Markets Product CFD Disclosure Statement)?	<input type="checkbox"/> Yes <input type="checkbox"/> No

CFDs are issued by CMC Markets at the request of your Introducing Adviser. Neither CMC Markets nor your Introducing Adviser are representatives of each other.

Investing in CFDs carries significant risks and may not be suitable for all investors. Losses can exceed your initial deposit. We therefore recommend that you seek independent advice and ensure you fully understand the risks involved before trading. You should consider the Product Disclosure Statement (PDS) and other disclosure documents in deciding whether to acquire, or to continue to hold the CFDs.

CMC Markets is the trading name of CMC Markets Asia Pacific Pty Ltd (ABN 11 100 058 213, AFSL No. 238054), the CFDs issuer.

CMC Markets Asia Pacific Pty Ltd

PO Box R1879
Royal Exchange NSW 1225

1300 557 561
brokerservices@cmcmarkets.com.au

APPLICANT 1

Software Password (compulsory)

For trading security you will be required to nominate a password between 3 and 10 characters long.

For added security, we advise you to change your password once you have logged onto the software for the first time.

Do you have a broadband internet connection at home? Yes No

Security (optional)

Please provide the following details so we can confirm your identity if you wish to make changes to your CMC Markets account in the future:

6-digit Password (not your software password)

Your Mother's Maiden Name: _____

Your Town of Birth: _____

APPLICANT 2

Software Password (compulsory)

For trading security you will be required to nominate a password between 3 and 10 characters long.

For added security, we advise you to change your password once you have logged onto the software for the first time.

Do you have a broadband internet connection at home? Yes No

Security (optional)

Please provide the following details so we can confirm your identity if you wish to make changes to your CMC Markets account in the future:

6-digit Password (not your software password)

Your Mother's Maiden Name: _____

Your Town of Birth: _____

APPLICANTS 1 & 2

Identification

* We are required to verify your identity prior to opening your account. We can verify your identity by conducting an electronic verification check.

Providing your driver's licence number will help us to conduct your electronic verification check. We will not use your driver's licence number for any other purpose other than to conduct your electronic verification check.

If we notify you that your electronic verification check was unsuccessful, or you do not wish for us to conduct an electronic verification check, you'll need to provide us with certified copies of original identification verifying your full name, your current residential address and your date of birth. For details of documents you'll need to provide, go to cmcmarkets.com.au, click 'Open an account' and view 'Your ID requirements', or contact us.

Disclosure of information

From time to time, your Introducing Adviser may request your personal information, including your trading activity.

By completing this application form, you provide consent for CMC Markets to disclose your personal information, including your trading activity, to your Introducing Adviser and their authorised representatives. For further information please contact CMC Markets or your Introducing Adviser.

APPLICANTS 1 & 2

Linked Bank Account (optional)

If you wish, you can arrange to link an external bank account to your trading account. This will allow you to transfer funds from your trading account via email request after verifying your identity with the security details above.

Your Introducing Adviser or CMC Markets is not authorised to debit funds from this account at any time. Funds will only be transferred into this account from your trading account on your request.

Account Name: _____

Bank or Financial Institution: _____

Branch Name: _____

BSB Number: _____

Account Number: _____

APPLICANTS 1 & 2

Declarations

By signing this application and returning it to CMC Markets Asia Pacific Pty Ltd ('CMC Markets'), or submitting it electronically, you declare to CMC Markets that:

- you have read and understood CMC Markets' Contract For Difference ('CFD') Product Disclosure Statement ('PDS'), Financial Services Guide ('FSG'), Product Schedule, Rates Schedule, Dispute Handling process, Website and Electronic Trading Platform/s Terms and Conditions and Privacy Statement (together 'CMC Markets Documents').
- you acknowledge that the CMC Markets Documents constitute a contract between you and CMC Markets and this contract contains the terms of the agreement on which CMC Markets agrees to enter into CFDs with you.
- if this is an application that you have downloaded or received electronically, or that you are submitting electronically, you acknowledge that you have downloaded and read the electronic versions of the CMC Markets Documents or received and read the paper copies of the CMC Markets Documents.
- the information contained in this application is true and correct and you will notify CMC Markets in writing if the information contained in this application including your contact details materially changes or ceases to be correct.
- you acknowledge that you are authorised to trade all CMC Markets' products as outlined in the PDS.
- you agree that you have given consideration to your objectives, financial situation and needs, and the significant risks of loss which accompany the prospects of profit associated with dealing in CMC Markets' Products, and you have formed the opinion that dealing in CMC Markets' Products is suitable for your circumstances and purposes.
- you understand and agree that CMC Markets may vary, update or replace the CMC Markets Documents at any time by posting a notice and/or supplementary or new CMC Markets Documents on CMC Markets' website. We will notify you when we vary, update or replace the CMC Markets Documents if we are required to do so by law.
- you acknowledge that you were provided the opportunity to obtain independent legal and financial advice by CMC Markets, and you have obtained appropriate and sufficient advice concerning the CMC Markets Documents.
- If you have applied for a joint account, you acknowledge that all applicants are jointly and severally liable for all trading on the account in accordance with the PDS.
- you acknowledge that your personal information will be handled in accordance with CMC Markets' Privacy Statement and you consent to CMC Markets collecting, maintaining, using and disclosing personal information about you and provided by you or by another person.
- you have received, read and understood the Financial Services Guide of your Introducing Adviser.

Applicant 1

Signature

X

Print Name: _____

Date: ____ / ____ /20__

Applicant 2

Signature

X

Print Name: _____

Date: ____ / ____ /20__

Introducing Adviser Use Only

Introducing Adviser: _____

Commission Schedule: _____

Adviser Code: _____



Funding your account – Credit Card

Cardholder's name:

(credit card must be in the same name as that of your account)

Credit Card Number:

 - - - -

Expiry Date:

Security Code:

 (last three digits on the reverse of your card)

Credit Card:

Visa MasterCard

I hereby authorise you to debit the sum of _____ (amount in figures)

(amount in words)

to initially fund my account with CMC Markets.

X

Date: ____ / ____ /20__